

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number:

5,963,923

Issued:

October 5, 1999

Name of Patentee: GARBER

Title of Invention: SYSTEM AND METHOD FOR TRADING HAVING A PRINCIPAL

MARKET MAKER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR APPLICANT'S MISTAKE (37 C.F.R. § 1.323)

- 1. It is noted that an error appears in the claims of this patent, as more fully described below. It occurred in good faith. Correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination. A certificate of correction is requested.
- 2. Attached hereto, in duplicate, is PTO/SB/44 (also Form PTO-1050), with at least one copy being suitable for printing.
- 3. Certain claims include typographical errors. Claims 12, 13, 14, 15 and 16 each read "The electronic market defined in claim 10," but should read " The electronic market defined in claim 11." No new matter has been added.
- 4. Please send the Certificate to:

Name:

HOWARD GARBER

Address:

2305 N. COMMONWEALTH AVE.

CHICAGO, IL 60614

5. Please pay the fee required by 37 C.F.R. § 1.20(a), as follows:

A check in the amount of \$100.00 is enclosed.

Date: Otober 16,2006

Howard B. Garber

Patentee

2305 N. Commonwealth Ave. Chicago, IL 60614

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

: 5,963,923

DATED

October 5, 1999

INVENTOR(S) : Garber

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In claim 12, line 1, replace the numeral "10" with -11-.

In claim 13, line 1, replace the numeral "10" with -11-.

In claim 14, line 1, replace the numeral "10" with --11--.

In claim 15, line 1, replace the numeral "10" with --11--.

In claim 16, line 1, replace the numeral "10" with --11-.

MAILING ADDRESS OF SENDER: Howard B. Garber 2305 N. Commonwealth Ave.

Chicago, IL 60614

PATENT NO. <u>5,963,923</u>

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This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tredemark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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